

Chris Cotterill

Subject: SRC Ethical Safeguards Notice
Date: Sunday, December 13, 2015 at 6:47:17 PM Eastern Standard Time
From: Cotterill, Chris W
To: rick. johnson, Angela Braly, johnt@thomdist.com, Joel Gorelick, Melanie Hart, Jim Marcuccilli, Bob Taylor, Robert L. Koch II, John Mutz
CC: Smith, Victor P, Schellinger, Jim, Akard, Stephen, Shields, Eric
Attachments: 536D1D86-C180-44A3-96A6-313D28F81290.png, 04213B42-FD8D-4B28-AE3A-89332EF5B35F.png, FAA2262F-DC6B-437F-BA7A-C4EC4AE8BE5D.png, 9AB44528-CF05-43F6-B2FC-84FF8B967BBA.png

IEDC Board of Directors,

Earlier this year, the IEDC instituted a set of ethical safeguards to apply to the volunteers who gave their time and talents to the Strategic Review Committee (SRC). These safeguards were adopted to help reinforce the integrity of the process of reviewing the regional development plans. These safeguards were in addition to holding open public meetings in compliance with the Indiana Open Door Law, among other transparency measures.

The safeguards we instituted are straightforward:

- SRC volunteers have been required to disclose any apparent conflict of interest to me in my capacity as the IEDC Ethics Officer.
- Disclosures constituting a conflict have been provided in writing to the other SRC volunteers prior to deliberations.
- Any SRC volunteer with such a conflict has not participated in deliberations on any project with which they have a conflict.

Additionally, our safeguards provide that we would give the IEDC Board of Directors notice of the conflicts disclosed to me by the SRC volunteers.

In compliance with this process, two disclosures were made to me and communicated to the other members of the SRC:

1. Mr. Hobbs disclosed his involvement in a project with proposed upgrades to the Wigwam.
2. Mr. Kitchell disclosed the following potential concerns: (1) an employee of IU Health was involved in the presentation of the East Central application; (2) the mass transit component of Central Indiana's application proposes to have a stop at an IU Health facility; and, (3) IU Health has previously provided financial support to 16 Tech and IU Health CEO Dan Evans serves on the Board of the IBRI, both of which are also components of the Central Indiana application. Additionally, though the new IU medical school proposed in the SW Indiana application is made on behalf of a separate legal entity than IU Health, in the interests of transparency, Mr. Kitchell requested that the SRC be aware of the special relationship between the IU medical school system and IU Health.

In both cases, Messrs. Hobbs and Kitchell did not participate in deliberations of these projects in their capacities as volunteers to the SRC (except to discuss related ethics concerns with me).

As an added measure of transparency, this notice will be posted online prior to the IEDC Board's consideration of the SRC's recommendations.

If you have any questions or concerns, please let me know.

Sincerely,
Chris

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